Indirect Collection Source Schedule

Irvine-Wenborn Investment Partners Limited | Version 1 | September 2026

This schedule identifies the main third parties from whom Irvine Wenborn may collect personal information indirectly, the information that may be exchanged, the purpose of the exchange, and how notice is provided. It should be read together with Irvine Wenborn's Privacy Statement and client Terms of Engagement.

Third party	Information exchanged to source	Information exchanged from source	Purpose	Law / authority (where applicable)	Notice method
Identity verification / AML/CFT screening providers	Identity and verification information such as name, date of birth, residential address, citizenship or residency details, and identification document information.	Identity-verification results; document-validation results; sanctions, politically exposed person (PEP), and other compliance screening results where applicable.	Verify identity and meet AML/CFT, sanctions, fraud-prevention, and other regulatory obligations.	Anti-Money Laundering and Countering Financing of Terrorism Act 2009; Privacy Act 2020, where applicable.	Terms of Engagement + Privacy Statement + this Schedule.
KiwiSaver providers	Member identity information, contribution or instruction information, and other information needed to obtain information or implement authorised instructions.	Membership details, fund holdings, contributions, transactions, fund selection, account balance, and related provider information.	Provide KiwiSaver advice, review existing arrangements, and implement or monitor agreed recommendations where authorised.	Generally not based on a specific statutory collection power; client authority and Privacy Act 2020 requirements apply.	Terms of Engagement + Privacy Statement + this Schedule + provider authority where required.
Investment platforms and investment providers	Client identity information, account-opening information, tax-residency information, investment instructions, authorities, and other data needed to establish or administer an investment relationship.	Portfolio holdings, transactions, cash movements, income, fees, performance, tax reports, account information, and other investment records.	Provide investment advice, implement authorised transactions, administer portfolios, and provide ongoing portfolio monitoring and reporting.	Generally not based on a specific statutory collection power; client authority and Privacy Act 2020 requirements apply.	Terms of Engagement + Privacy Statement + this Schedule + platform/provider authority where required.

Third party	Information exchanged to source	Information exchanged from source	Purpose	Law / authority (where applicable)	Notice method
Other individuals (for example spouse or partner, family members, trustees, attorneys, beneficiaries, or authorised representatives)	Where necessary and lawful, identity, contact, financial, family, estate-planning, or other information relevant to the advice engagement.	Information about the client or connected person that is relevant to financial planning, ownership structures, family circumstances, trusts, estate planning, or authorised instructions.	Understand household and family circumstances, provide holistic financial planning, verify authorities, and support trust or estate-planning considerations relevant to the advice.	Privacy Act 2020; client or individual authority where required; an applicable Privacy Act exception may also apply in limited circumstances.	Privacy Statement + this Schedule; standalone notice or direct notification where the person has not already been appropriately informed.
Previous financial advisers or accountants	Signed authority or other evidence of client authorisation; identifying information needed to locate the relevant records.	Historic advice records, investment information, correspondence, financial statements, tax information or returns supplied for planning purposes, notes, and other relevant client records.	Provide continuity of financial advice, understand prior arrangements, reduce unnecessary duplication, and obtain information relevant to current financial planning.	Generally not based on a specific statutory collection power; client authority and Privacy Act 2020 requirements apply.	Terms of Engagement + Privacy Statement + this Schedule + signed authority where required.
Referrers	Where appropriate and authorised, limited information needed to manage an introduction or referral, such as client name, contact details, or the nature of the assistance requested.	Client or prospective-client name, contact details, and limited context explaining the reason for the introduction or referral.	Make initial contact, understand the reason for the referral, and manage professional referral relationships.	Privacy Act 2020.	Referrer first-contact notice and/or Privacy Statement + this Schedule.
Government agencies and public registers (for example Inland Revenue, Companies Office, regulators, and overseas authorities where relevant)	Authority to act, client identifiers, or other information required to make an authorised enquiry, comply with law, or verify information.	Tax-residency or tax-related information relevant to financial planning, company or director information, public-register information, correspondence, and other information lawfully obtained for the advice engagement.	Verify information, support financial and retirement planning, understand ownership or tax-residency context, and meet legal or regulatory obligations.	Privacy Act 2020; Tax Administration Act 1994, AML/CFT Act 2009, Financial Markets Conduct Act 2013, or other applicable legislation where the particular collection is authorised or required by law.	Terms of Engagement + Privacy Statement + this Schedule + signed authority or specific notice where required.

Third party	Information exchanged to source	Information exchanged from source	Purpose	Law / authority (where applicable)	Notice method
Other professional service providers (for example lawyers, tax advisers, trustees, investment managers, property professionals, and other advisers engaged by the client)	Authority to receive or exchange information; client identity and relevant planning information; direct requests or instructions authorised by the client.	Investment, tax, legal, trust, property, financial, or other professional information relevant to the client's financial plan or implementation of agreed advice.	Coordinate financial planning with the client's other professional advisers, obtain information relevant to advice, and support implementation of agreed strategies.	Generally not based on a specific statutory collection power; client authority and Privacy Act 2020 requirements apply.	Terms of Engagement + Privacy Statement + this Schedule + signed authority or client instruction where required.
Custodians	Client identity information, account-opening information, tax-residency information, instructions, and authorities needed to establish or administer custody arrangements.	Investment holdings, transactions, income, fees, cash balances, tax information, and other custody or account records.	Provide and implement investment advice, administer client portfolios, reconcile holdings, and support ongoing reporting and review.	Generally not based on a specific statutory collection power; client authority and Privacy Act 2020 requirements apply.	Terms of Engagement + Privacy Statement + this Schedule + custodian authority where required.